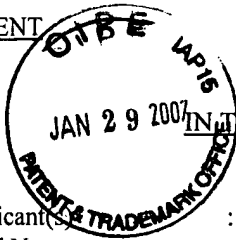


PATENT

Docket No. 655-012c



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baker et al.  
Serial No. : 09/777,003  
Filed : February 5, 2001  
For : INTEGRATED MULTIMEDIA SYSTEM WITH LOCAL PROCESSOR, DATA  
TRANSFER SWITCH, PROCESSING MODULES, FIXED FUNCTIONAL UNIT,  
DATA STREAMER, INTERFACE UNIT AND MULTIPLEXER, ALL INTEGRATED  
ON MULTIMEDIA PROCESSOR

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached Communication- Reply to Office Communication Regarding New Oath  
for Non-Signing Inventor w/ Exhibits, and Return Postcard along with any paper(s) referred to as being attached or  
enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on the date  
shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner of Patents  
and Trademarks, P.O. Box 1450  
Alexandria, VA 22313-1450.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

By:   
Valentina Papraniku

Date: January 24, 2007

Mailing Address:

SOFER & HAROUN, L.L.P.  
317 Madison Avenue, Suite 910  
New York, New York 10017  
Tel:(212)697-2800  
Fax:(212)697-3004



Attorney Docket No. 655-012C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of		Examiner:	Tanh Q. Nguyen
Baker et al.		Group Art Unit:	2182

Serial No. 09/777,003

Filed: February 5, 2001

**For: INTEGRATED MULTIMEDIA SYSTEM WITH LOCAL PROCESSOR, DATA TRANSFER SWITCH, PROCESSING MODULES, FIXED FUNCTIONAL UNIT, DATA STREAMER, INTERFACE UNIT AND MULTIPLEXER, ALL INTEGRATED ON MULTIMEDIA PROCESSOR**

**COMMUNICATION**  
**REPLY TO OFFICE COMMUNICATION REGARDING NEW OATH**  
**FOR NON-SIGNING INVENTOR**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SIR:**

In reference to the above identified application, we received an Office Communication dated January 5, 2007, a copy of which is attached hereto as Exhibit 1. In the communication, it was noted that a Petition under 37 CFR 1.47(a) had been previously granted by the U.S. Patent and Trademark Office for the co-inventor Mr. Vinay Naik. A copy of the original Petition from the parent application (1), the Decision on Petition granting the non-signing inventor status under 37 CFR 1.47 in the parent patent (2) as well as postcard showing that the Decision on Petition was re-submitted in the present application (3) are attached as Exhibit 2. This Petition includes the last

known address of Mr. Naik.

However, the Patent Office had reviewed the documents and now rejected the declaration of Mr. Vinay Naik, submitted with the Petition, because it did not include his last known address. To reply, the Patent Office requested that a Supplemental Declaration be submitted including the signature of one inventor signing "on behalf" of the non-signing inventor.

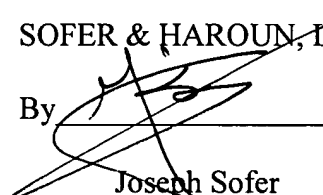
Attached as Exhibit 3, is a new supplemental declaration signed by co-inventor Kiyokazu Nishioka on behalf of the non-signing inventor Mr. Naik. Like the original Petition, this new Supplemental Oath includes the last known address of Mr. Naik, 1235 Sutter Creek Trail, Austin TX 78717.

If the Examiner or other staff member at the U.S. Patent and Trademark Office requires any additional information, please contact the undersigned at the number listed below.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

By

  
Joseph Sofer  
Reg. No 34,438  
317 Madison Avenue  
Suite 910  
New York, NY 10017  
(212) 697-2800  
Fax (212) 697-3004  
Customer Number 39600

Dated:

6/23/07

# **EXHIBIT 1**



UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/777,003	02/05/2001	David Baker	655-0012C	5644

7590 01/05/2007  
SO FER & HAROUN, L.L.P.  
317 Madison Avenue  
Suite 910  
New York, NY 10017



EXAMINER

NGUYEN, TANH Q

ART UNIT	PAPER NUMBER
----------	--------------

2182

MAIL DATE	DELIVERY MODE
-----------	---------------

01/05/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.



Address: COMMISSIONER FOR PATENTS  
P.O. Box 145  
Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
---------------------------------	-------------	---	---------------------

09/777,003

02/05/2001

BAKER

655-0012 C

EXAMINER

TANH Q. NGUYEN

ART UNIT

PAPER

2182

20061222

DATE MAILED:



Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner for Patents

This communication supersedes the communication prepared by the examiner on December 20, 2006.

A Petition Under 37 CFR 1.47(a) for inventor "VINAY NAIK" was granted, but the declaration does not include the address of inventor "VINAY NAIK".

Applicant is required to file a new declaration which is "supplemental" to the first declaration to cure the address deficiency. The new declaration would have to be submitted with either:

the signatures of all the inventors except for the non-signing inventor, or

the signature of one inventor signing "on behalf" of the non-signing inventor,

Applicant is also required to attach a copy of the documents previously filed under rule 1.47 to show the last known address of the non-signing inventor.

Applicant has ONE MONTH FROM THE "MAILING DATE" of this communication to file a reply. Failure to timely reply will result in ABANDONMENT of this application.

TANH Q NGUYEN  
PRIMARY EXAMINER  
TECHNOLOGY CENTER 2100

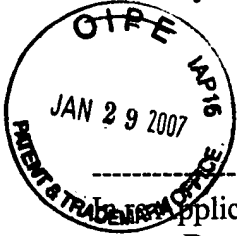
*Tanh Q. Nguyen*  
December 22, 2006

TQN  
December 22, 2006

# **EXHIBIT 2**

Attorney Docket No.: 655-012

Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----X  
Application of  
David Baker et al. :  
  
Serial No.: 09/172,286 :  
  
Filed: October 14, 1998 :  
  
Title: AN INTEGRATED MULTIMEDIA SYSTEM :  
-----X

**PETITION TO MAKE APPLICATION FOR PATENT ON BEHALF OF AND AS  
AGENT FOR THE INVENTOR (37 CFR 1.47(a))**

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R:

Petitioners, EQUATOR TECHNOLOGIES, INC. and HITACHI, LTD. hereby petition under 37 CFR §1.47(b) to make application for patent on behalf of, and as agent for, Vinay Naik. Vinay Naik is one of the co-inventors of the above-identified patent application. As will be demonstrated below, Petitioners have a proprietary interest in this application and the action taken herein is necessary to preserve the rights of Petitioners.

The proprietary interest of Hitachi Ltd. and Equator Technologies, Inc. is demonstrated by the Assignment executed by all of the inventors, which is attached as Exhibit 1. According to



the Assignment, all of the inventors, including Vinay Naik, have assigned all rights in the invention to Hitachi Ltd. and Equator Technologies, Inc. A copy of the Notice of Recordation of Assignment Document, showing that the assignment was recorded at Reel 9865, Frame 0828, is attached as Exhibit 2.

This Petition sets forth that David Baker, Christopher Basoglu, Benjamin Cutler, Richard Deeley, Gregorio Gervasio, Atsuo Kawaguchi, Keiji Kojima, Woobin Lee, Takeshi Miyazaki, Yatin Mundkur, Vinay Naik, Kiyokazu Nishioka, Toru Nojiri, John O'Donnell and Sarang Padalkar are the true inventors of the above-identified application. At the time the invention was made, all of the above-named inventors were employees of Hitachi Ltd. and Equator Technologies, Inc.

The original declaration for this application was filed on October 14, 1998, without the inventors' signatures, for the purpose of identifying the inventors only. In response to the Notice of Missing Parts, new declarations were obtained having the signatures of the inventors, and were submitted to the U.S. Patent Office on April 5, 1999. However, the new declarations did not contain the residence, post office address and citizenship of all of the inventors, as required. Therefore, Applicant submits new declarations, enclosed herewith, containing all of the required information.

The enclosed new declarations have been executed by all of the inventors, with the exception of co-inventor Vinay Naik, who could not be located despite a diligent search.

Specifically, Mr. Naik is no longer employed by Equator Technologies. Mr. Naik did not provide Equator Technologies with contact information after his departure from the company. Several attempts to contact Mr. Naik at his last known address, in order to obtain the execution of the declaration, have been unsuccessful. These attempts are explained in detail in the Attorney's Declaration, enclosed herewith.

Thus, this petition is hereby submitted to the Patent Office to make application for patent for the above-identified invention in the name of Equator Technologies, Inc. and Hitachi, Ltd. on behalf of, and as agent for, the co-inventor Vinay Naik.

In addition, Applicant hereby requests that the enclosed declarations, signed by all of the other co-inventors, be entered in the above-referenced patent application. Enclosed is a check in payment of the required fee under C.F.R. 1.17(h) of \$130, for this Petition to Make Application for Patent On Behalf of and As Agent for the Inventor. Any additional fees required in connection with this application may be charged to Deposit Account No. 19-2825.

Respectfully submitted,  
SOFER & HARQUN, LLP

By: 

Joseph Sofer  
Reg. No. 34,438  
342 Madison Avenue, Suite 1921  
New York, New York 10173  
(212) 697-2800

Dated: October 12, 2000

EXHIBIT 1

## ASSIGNMENT OF APPLICATION FOR PATENT

## WHEREAS:

- (1) BENJAMIN F. CUTLER *B. F. Cutler*
- (2) CARIS BASOGLU *Caris Basoglu*
- (3) KEIJI KOJIMA *Keiji Kojima*
- (4) RICHARD DEELEY *R. Deeley*
- (5) TAKESHI MIYAZAKI *Takeshi Miyazaki*
- (6) E. C. Rehm *Eric C. Rehm*
- (7) Vinay Naik *Vinay Naik*
- (8) Nishioka *Nishioka*
- (9) ATSUO KAWAGUCHI *Atsuo Kawaguchi*
- (10) WOOLW LEE *Woolw Lee*
- (11) DAVID BAKER *David Baker*
- (12) GREGORIO GERVASIO, JR. *Gregorio Gervasio, Jr.*
- (13) Nojiri *Nojiri*
- (14) JOD *JOD*
- (15) SARANGAN PADALKAR

(full name(s) and post office address(s) of inventor(s) (including country))

(hereinafter referred to as ASSIGNOR(S)), have made a discovery or invention entitled:

An Integrated Multimedia System  
(title of discovery or invention)

[ ] for which application for Letters Patent of the United States has been executed on even date herewith,

[X] for which application for Letters Patent of the United States has been filed on October 14, 1998, under Serial No. 09/172,286, and

## WHEREAS:

Hitachi, Ltd., a corporation organized under the laws of Japan, of 6, Kanda Surugadai 4-chome, Chiyoda-ku, Tokyo, Japan AND Equator Technologies Inc., a corporation organized under the laws of the state of California, of 1300 White Oaks Road, Campbell, CA 95008-6723  
(name and address of assignee(s))

## ADDITIONAL SIGNATURE PAGE

Assignor's signature: Takeshi Miyazaki  
Assignor's name: TAKESHI MIYAZAKI  
First name Middle initial Last name

Citizenship: JAPAN

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: Woo Bin Lee  
Assignor's name: WOOBIN LEE  
First name Middle initial Last name

Citizenship: KOREA

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: Vinay Nair  
Assignor's name: VINAY J NAIR  
First name Middle initial Last name

Citizenship: INDIA

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

## ADDITIONAL SIGNATURE PAGE

Assignor's signature: Tora NejiriAssignor's name: Tora Nejiri  
First name Middle initial Last nameCitizenship: JAPAN

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: Eze C. RehmanAssignor's name: Eze C. Rehman  
First name Middle initial Last nameCitizenship: USA

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: Keiji KojimaAssignor's name: KEIJI KOJIMA  
First name Middle initial Last nameCitizenship: JAPAN

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

ADDITIONAL SIGNATURE PAGE

Assignor's signature: 

Assignor's name: CHRISTOPHER H BASQUE  
First name Middle initial Last name

Citizenship: USA

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: 


Assignor's name: BENJAMIN F. CUTLER  
First name Middle initial Last name

Citizenship: USA

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: 

Assignor's name: RICHARD M DEELEY  
First name Middle initial Last name

Citizenship: \_\_\_\_\_

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

ADDITIONAL SIGNATURE PAGE

Assignor's signature: \_\_\_\_\_

Assignor's name: \_\_\_\_\_

First name Middle initial Last name

Citizenship: \_\_\_\_\_

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: \_\_\_\_\_

Assignor's name: \_\_\_\_\_

First name Middle initial Last name

Citizenship: Japan

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Assignor's signature: \_\_\_\_\_

Assignor's name: \_\_\_\_\_

First name Middle initial Last name

Citizenship: Japan

WE HEREBY confirm that we have personally witnessed the inventor personally and freely sign this document.

Date: \_\_\_\_\_ Witness name and signature \_\_\_\_\_

Date \_\_\_\_\_ Witness name and signature \_\_\_\_\_



EXHIBIT 2



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

JUNE 17, 1999

SOFER & HAROUN, LLP  
JOSEPH SOFER  
342 MADISON AVENUE  
SUITE 1921  
NEW YORK, NY 10173



UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/08/1999

REEL/FRAME: 9865/0828  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BAKER, DAVID

DOC DATE: 01/21/1999

ASSIGNOR:

BASOGLU, CHRISTOPHER

DOC DATE: 01/21/1999

ASSIGNOR:

CUTLER, BENJAMIN

DOC DATE: 01/21/1999

ASSIGNOR:

DEELEY, RICHARD

DOC DATE: 01/21/1999

ASSIGNOR:

GERVASIO, GREGORIO

DOC DATE: 01/21/1999

ASSIGNOR:

KAWAGUCHI, ATSUO

DOC DATE: 01/21/1999

ASSIGNOR:

KOJIMA, KEIJI

DOC DATE: 01/21/1999

ASSIGNOR:  
LEE, WOOBIN

DOC DATE: 01/21/1999

ASSIGNOR:  
MIYAZAKI, TAKESHI

DOC DATE: 01/21/1999

ASSIGNOR:  
MUNDKUR, YATIN

DOC DATE: 01/21/1999

ASSIGNOR:  
NAIK, VINAY

DOC DATE: 01/21/1999

ASSIGNOR:  
NISHIOKA, KIYOKAZU

DOC DATE: 01/21/1999

ASSIGNOR:  
NOJIRI, TORU

DOC DATE: 01/21/1999

ASSIGNOR:  
O'DONNELL, JOHN

DOC DATE: 01/21/1999

ASSIGNOR:  
PADALKAR, SARANG

DOC DATE: 01/21/1999

ASSIGNEE:  
HITACHI, LTD.  
6, KANDA SURUGADAI 4-CHOME,  
CHIYODA-KU  
TOKYO, JAPAN

ASSIGNEE:  
EQUATOR TECHNOLOGIES, INC.  
1300 WHITE OAKS ROAD  
CAMPBELL, CALIFORNIA

SERIAL NUMBER: 09172286  
PATENT NUMBER:

FILING DATE: 10/14/1998  
ISSUE DATE:

MAURICE CARTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

Paper 8

JOSEPH SOFER  
SOFER & HAROUN  
342 MADISON AVENUE  
SUITE 1921  
NEW YORK, NY 10173



**COPY MAILED**

DEC 06 2000

OFFICE OF PETITIONS  
A/C PATENTS

In re Application of  
David Baker et al.  
Application No. 09/172,286  
Filed: October 14, 1998  
Attorney Docket No. 655-012  
For: INTEGRATED MULTIMEDIA SYSTEM  
WITH LOCAL PROCESSOR, DATA  
TRANSFER SWITCH, PROCESSING  
MODULES, FIXED FUNCTIONAL UNIT,  
DATA STREAMER, INTERFACE UNIT AND  
MULTIPLEXER, ALL INTEGRATED ON  
MULTIMEDIA PROCESSOR

DECISION ACCORDING STATUS  
UNDER 37 CFR 1.47(a)

This is in response to the "Petition Under 37 CFR 1.47(a)," filed October 16, 2000.

The petition is granted.

Applicant has submitted copies of letters sent to co-inventor Vinay Naik dated September 9, 1999 and September 25, 2000 as well as proof of receipt. The letters included copies of the above identified application and the declaration for which they were seeking co-inventor Naik's signature. The transmittal of the application papers to the last known address for Mr. Naik demonstrates that the co-inventor has been notified of applicant's intent to file the above referenced application. Further, applicant has shown that co-inventor Naik has been given an opportunity but has refused to join in the filing of the above-identified application.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(a), this Office will forward notice of this application's filing to the non-signing inventors at the address given in the Declaration. Notice of the filing of this application will also be published in the Official Gazette.

This file is being forwarded to the Office of Initial Patent Examination.

Telephone inquiries regarding this decision should be directed to Petitions Attorney Patricia Faison-Ball at (703) 305-4497.

Patricia Faison-Ball  
Petitions Attorney  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

Beverly M. Flanagan  
Supervisory Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

Paper No. 9

Mr. Vinay Naik  
1235 Sutter Creek Trail  
Austin, TX 78717



**COPY MAILED**

In re Application of  
David Baker et al.  
Application No. 09/172,286  
Filed: October 14, 1998  
Attorney Docket No. 655-012

LETTER

DEC 06 2000

OFFICE OF PETITIONS  
A/C PATENTS

Dear Mr. Naik:

You are named as a joint inventor in the above identified United States patent application, filed under the provisions of 35 U.S.C. 116 (United States Code), and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application you will be designated therein as a joint inventor.

As a named inventor you are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, you may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from you. If you care to join the application, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration by you pursuant to 37 CFR 1.63.

Requests for information regarding your application should be directed to the File Information Unit at (703)308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703)308-9726 or 1(800)972-6382 (outside the Washington D.C. area).

Telephone inquiries regarding this communication should be directed to Petitions Attorney Patricia Faison-Ball at (703) 305-4497.

*Patricia Faison-Ball*  
Patricia Faison-Ball  
Petitions Attorney  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

*Beverly M. Flanagan*  
Beverly M. Flanagan  
Supervisory Petitions Examiner  
Office of Petitions  
Office of the Deputy Commissioner  
for Patent Examination Policy

cc: JOSEPH SOFER  
SOFER & HAROUN  
342 MADISON AVENUE  
SUITE 1921  
NEW YORK, NY 10173



Commissioner of Patents & Trademarks

Washington, D.C. 20231

Sir: Please stamp the date of receipt and the serial number, if appropriate, hereon, and return by mailing. Thank you.

Documents:

- 1) Response to Notice to File Missing Parts
- 2) Notice to File Missing Parts
- 3) Assignment Recordation Sheet
- 4) Certificate of Mailing
- 5) Return Postcard
- 6) copy of 11 Declarations
- 7) copy of Granted Petition
- 8) check for \$880.00
- 9) Assignment

Date Documents Mailed: May 3, 2001

-----For Application-----

Title: INTEGRATED MULTIMEDIA SYSTEM...

Applicant: Baker et al.

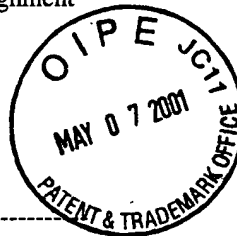
Filing Date: February 5, 2001

Attorney: Joseph Sofer

Art Unit: 2182

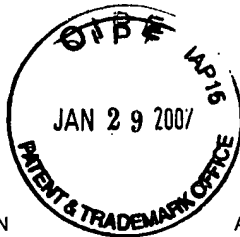
Doc# 655-012e

Serial No. 09/777,003



10/5/01

# **EXHIBIT 3**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**COMBINED DECLARATION, POWER OF ATTORNEY & PETITION**

**TYPE OF DECLARATION**

- ☐ Utility
- ☐ Design
- ☐ Supplemental
- ☐ Divisional
- ☒ Continuation
- ☐ Continuation-in-part
- ☐ National Stage of the PCT

**INVENTORSHIP AND SPECIFICATION IDENTIFICATION**

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one is listed below) or a joint inventor (if plural inventors are named below) of the invention entitled:

**AN INTEGRATED MULTIMEDIA SYSTEM**

as described and claimed in the specification which

- ☐ is attached hereto.
- ☒ was filed on February 5, 2001
  - ☒ as U.S. Serial Number. 09/777,003; or
  - ☐ Express Mail No. \_\_\_\_\_ (as serial number not yet known); and
  - ☐ was amended on \_\_\_\_\_.
- ☐ was described and claimed in PCT International Application No. PCT/ / filed on \_\_\_\_\_; and
- ☐ as amended under PCT Article 19 and/or 34 on \_\_\_\_\_.

**REVIEW OF PAPERS AND DUTY OF CANDOR**

I have reviewed and understand the contents of the attached specification including the drawing and claims as amended by any amendment referred to below; and I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application, in accordance with 37 CFR 1.56(a); and ☐ in compliance with this duty there is attached an information disclosure statement.



### PRIORITY CLAIMS

I hereby claim **foreign priority** benefits under Title 35, United States Code, ' 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign applications for patent applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s) Claimed			Priority	
_____	_____	_____	[ ]	[ ]
(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No
_____	_____	_____	[ ]	[ ]
(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No
_____	_____	_____	[ ]	[ ]
(Number)	(Country)	(Day/Month/Year/Filed)	Yes	No

I hereby claim the benefit under Title 35, United States Code, ' 120 of any **United States Application(s)** listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States Application in the manner provided by the first paragraph of Title 35, United States Code, ' 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, ' 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>09/172,286( Pat No. 6347344)</u>	<u>October 14, 1998</u>	<u>Patented</u>
(Application Serial No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)

### DECLARATION

I declare that all statements made above of my own knowledge are true and all statements made on information and belief are believed to be true; and these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

States Code and such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

### **POWER OF ATTORNEY**

I hereby appoint the following patent attorneys and/or patent agent(s) with full power of appointment, substitution and revocation to prosecute this application, to make alterations and amendments thereto, to receive the patent, and to transact all business in the Patent Office connected therewith.

Joseph Sofer (Reg. No. 34,438)  
Robert M. Haroun (Reg. No. 34,345)

Please address all telephone calls and correspondence to:

Joseph Sofer  
**SOFER & HAROUN, L.L.P.**  
342 Madison Avenue  
Suite 1921  
New York, New York 10173  
Telephone:(212) 697-2800  
Facsimile:(212) 697-3004

### **PETITION**

Wherefore, I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the above-mentioned specification and claims, and I hereby subscribe my name to the foregoing Declaration, Power of Attorney & Petition with references to the above-identified specification and claims.

## SIGNATURES

Name of joint  
first inventor: **David Baker**

Home Address: 102 Roper Lane, Chapel Hill, NC 27514  
Post Office Address: Same as above  
Citizenship:

Inventor's Signature: \_\_\_\_\_ Date:

Name of  
second inventor: **Christopher Basoglu**  
Home Address: 21832 2<sup>nd</sup> Avenue W, Bothell, WA 98021  
Post Office Address: Same as above  
Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date:

Name of  
third inventor: **Benjamin Cutler**  
Home Address: 6206 50th Avenue NE, Seattle WA 98115  
Post Office Address: Same as Above  
Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date:

Name of  
fourth inventor: **Richard Deeley**  
Home Address: 1266 Harefield Drive, San Jose, CA 95131  
Post Office Address: Same as Above  
Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date:

Name of

fifth inventor: **Gregorio Gervasio**

Home Address: 1065 Greco Avenue, No. A-206, Sunnyvale, CA 94087

Post Office Address: Same as Above

Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of

sixth inventor: **Atsuo Kawaguchi**

Home Address: 6701 Edgemoor Way, San Jose, CA 95129

Post Office Address: Same as above

Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of

seventh inventor: **Keiji Kojima**

Home Address: 1-20-11-405, Minami-Lashimoto, Sagamihara-shi, Kanagawa  
JAPAN

Post Office Address: Same as above

Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of

eighth inventor: **Woobin Lee**

Home Address: 1402 181st Place SW, Lynnwood, WA 98037

Post Office Address: Same as Above

Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of

ninth inventor: **Takeshi Miyazaki**  
Home Address: 2-3-12-907 Tsutsujigaoka, Akishima, Tokyo, JAPAN  
Post Office Address: Same as above  
Citizenship:

Inventor's Signature: \_\_\_\_\_ Date:

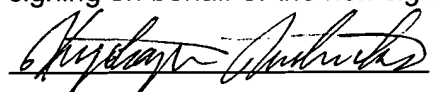
Name of  
tenth inventor: **Yatin Mundkur**  
Home Address: 571 Bobolink Circle, Sunnyvale, CA 94087  
Post Office Address: Same as above  
Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date:

Name of  
eleventh inventor: **Vinay Naik**  
Home Address: 1235 Sutter Creek Trail, Austin TX 78717  
Post Office Address: Same as Above  
Citizenship: India

Inventor's Signature:

co-inventor Mr. Kiyokazu Nishioka, also shown on this declaration, is signing on behalf of the non-signing co-inventor Mr. Vinay Naik

 for Mr. Vinay Naik Date: 1/16/06

Name of  
twelvth inventor: **Kiyokazu Nishioka**  
Home Address: 417 - 15 Nakamurahara, Odawara-shi, Kanagawa-ken JAPAN  
Post Office Address: Same as above  
Citizenship:

Inventor's Signature: \_\_\_\_\_ Date:

Name of  
thirteenth inventor: **Toru Nojiri**  
Home Address: 3-4-4 Nishi-Shinjuku, Shinjuku-ku Tokyo JAPAN  
Post Office Address: Same as above  
Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of  
fourteenth inventor: **John O'Donnell**  
Home Address: 1738 26th Avenue E, Seattle, WA 98112  
Post Office Address: Same as Above  
Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Name of  
fifteenth inventor: **Sarang Padalkar**  
Home Address: 5380 Hammerton Court, San Jose, CA 95118  
Post Office Address: Same as Above  
Citizenship: \_\_\_\_\_

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_